

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on March 8, 2004.


Debbie Prout

Applicant : Mark J. Owens, et al.
Application No. : 10/028,745
Filed : December 21, 2001
Title : WDM ADD/DROP MULTIPLEXER MODULE
Grp./Div. : 2835
Examiner : Boris Leo Chervinsky
Docket No. : 47630/DBP/S632

INFORMATION DISCLOSURE STATEMENT
37 CFR § 1.97(b)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

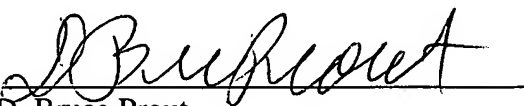
Post Office Box 7068
Pasadena, CA 91109-7068
March 8, 2004

Commissioner:

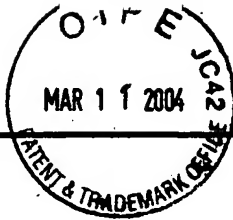
In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO/SB/08A/B listing the references that are known to applicant. Copies of each of the listed references are enclosed. This filing is timely because it is made during one of the periods described in 37 CFR § 1.97(b).

It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of FORM PTO/SB/08A/B be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Respectfully submitted,
CHRISTIE, PARKER & HALE, LLP

By 
D. Bruce Prout
Reg. No. 20,958
626/795-9900

DBP/djp Enclosures: PTO/SB/08A/B, w/references
DJP PAS554020.1-* -03/8/04 12:56 PM



FORM PTO/SB/08A/B (10-01) Substitute for PTO-1449A/B INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)	Attorney Docket Number	47630/DBP/S632
	Application Number	10/028,745
	Filing Date	December 21, 2001
	Applicant(s)	Mark J. Owens et al
	Group Art Unit	2835
	Examiner Name	Boris Leo Chervinsky

U.S. PATENT DOCUMENTS				
EXAMINER INITIALS	Cite No. ¹	DOCUMENT NUMBER Number - Kind Code ² (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
		4,777,561	10/11/1988	Murphy et al
		5,170,336	12/8/1992	Getter et al
		5,808,871	09/15/1998	Rosecan et al
		5,887,435	03/30/1999	Morton
		6,038,129	03/14/2000	Falaki et al
		6,166,908	12/26/2000	Samaras et al
		6,196,003	03/06/2001	Macias et al
		6,229,704	05/08/2001	Hoss et al
		6,422,730	07/23/2002	Koren et al
		6,430,053	08/06/2002	Peterson et al
		6,501,659	12/31/2002	Bodensteiner et al
		6,603,661	08/05/2003	Smith et al

FOREIGN PATENT DOCUMENTS					
EXAMINER INITIALS	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶ (✓)

OTHER DOCUMENTS		
EXAMINER INITIALS	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

DJP PAS551462.1-*-03/8/04 12:46 PM

EXAMINER SIGNATURE		DATE CONSIDERED	
<small>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. ³Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English Language Translation is attached.</small>			

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE